

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of:  Girouard et al.  Serial No: Filed: Confirmation No.:  <b>Title:</b> MITIGATING SELF- PROPAGATING E-MAIL VIRUSES	: Group Art Unit: Number  : Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758
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DATE: 9/24/03

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Amy J. Pattillo', with a long horizontal flourish extending to the right.

Amy J. Pattillo  
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In Place of FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Serial No. \_\_\_\_\_

Applicant: Girouard et al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920030749US1

Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
_____ AA	US 2003/0050981 A1	3/13/2003	Method, Apparatus and Program to Forward and Verify Multiple Digital Signatures in Electronic Mail	709	206	9/13/2001
_____ AB	US 2003/0023875 A1	1/30/2003	Detecting E-Mail Propagated Malware	713	201	7/26/2001
_____ AC	US 2002/0194490 A1	12/19/2002	System and Method of Virus Containment in Computer Networks	713	200	1/30/2002
_____ AD	US 2002/0194489 A1	12/19/2002	System and Method of Virus Containment in Computer Networks	713	200	11/27/2001
_____ AE	US 2002/0181703 A1	12/5/2002	Methods and Apparatus for Controlling the Transmission and Receipt of E-mail Messages	380	30	5/31/2002
_____ AF	US 6,199,102 B1	3/6/2001	Method and System for Filtering Electronic Messages	709	206	8/26/1997

FOREIGN PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation</u>
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner  
Initial

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Examiner:

Date Considered:

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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